

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/583,222
		Filing Date	03/19/2007
		First Named Inventor	Jacobs, Jr., Leslie L.
		Group Art Unit	2125
		Examiner Name	Not Yet Assigned
(use as many sheets as necessary)			
Sheet	1	of	9
		Attorney Docket Number	1061-013

PUBLISHED U.S. PATENT DOCUMENTS			
Examiner Initials	Application or Patent Number	Name of Applicant or Patentee	Date of Publication
	5,774,883	Andersen	30 June 1998
	6,556,992	Barney	29 April 2003
	5999907	Donner	7 December 1999
	2001/0042034	Elliot	15 November 2001
	5835896	Fisher	10 November 1998
	6167564	Fontana	26 December 2000
	5995947	Fraser	30 November 1999
	6,185,683	Ginter	6 February 2001
	2002/0116290	Hodges	22 August 2002
	6067531	Hoyt	1 May 2000
	2006/0253391	Jacobs	9 November 2006
	2002/0004775	Kossovsky	10 January 2002
	5915209	Lawrence	22 June 1999
	6,233,566	Levine	15 May 2001
	2002/0138384	Malackowski	26 September 2002
	6330547	Martin	1 December 2001
	2001/0049648	Naylor	1 December 2001
	2003/0061133	Nutter	27 March 2003

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ³ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application Number	10/583,222
Filing Date	03/19/2007
First Named Inventor	Jacobs, Jr., Leslie L.
Group Art Unit	2125
Examiner Name	Not Yet Assigned
Attorney Docket Number	1061-013

(use as many sheets as necessary)

Sheet 2 of 9

PUBLISHED U.S. PATENT DOCUMENTS

Examiner Initials	Application or Patent Number	Name of Applicant or Patentee	Date of Publication
	2002/0046038	Prokoski	18 April 2002
	2003/0225653	Pullman	4 December 2003
	6009543	Shavit	1 December 1999
	6035287	Stallaert	7 March 2000
	5550734	Tarter	27 August 1996
	5704044	Tarter	30 December 1997
	6115698	Tuck	5 September 2000
	6157947	Watanabe	5 December 2000

NON-PUBLISHED U.S. PATENT DOCUMENTS

Examiner Initials	Application Number	Name of Applicant	Filing Date
	09/545,853	Jacobs	10 April 2000
	09/525,060	Jacobs	14 March 2000
	60/182,533	Naylor	15 February 2000

FOREIGN PATENT DOCUMENTS

Examiner Initials	Application or Patent Number	Name of Applicant or Patentee	Date of Publication
	WO 00/42554	Elliot	20 July 2000
	WO 01/52097	Elliot	19 July 2001

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application Number	10/583,222
Filing Date	03/19/2007
First Named Inventor	Jacobs, Jr., Leslie L.
Group Art Unit	2125
Examiner Name	Not Yet Assigned
Attorney Docket Number	1061-013

(use as many sheets as necessary)

Sheet 3 of 9

FOREIGN PATENT DOCUMENTS

Examiner Initials	Application or Patent Number	Name of Applicant or Patentee	Date of Publication
	WO 99/46710	Ervolini	16 September 1999
	WO00/75851	Goffman	14 December 2000
	WO 00/55754	Gulati	21 September 2000
	WO 00/55791	Kossovsky	21 September 2000
	WO 00/73945	Martin	7 December 2000
	WO00/02987	Sieffert	11 January 2001
	WO00/75828	Stollzoff	14 December 2000

NON-PATENT DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	3COM, "Business-to-Business Electronic Commerce - Market Landscape Solutions, 3COM Technical Paper", 1 August 1999, 25 pages
	Balleisen, "Vulture Capitalism in Antebellum America: The 1841 Federal Bankruptcy Act and the Exploitation of Financial Distress", 1 January 1996, 20 pages, Business History Review, Winter 96, Vol. 70, Issue 4, Boston
	Benoit, "The Intellectual Property Exchange: Licensing and Selling Intellectual Property Rights and Technology Online", 12 September 2000, www.ipex.net/data/Patent_World_Article_For_The_Web.asp ,
	Bhattacharyya, "Asset-Backed Securities", 1 September 1996, v-vi, 1-20, 63-102, 237-267, Frank J. Fabozzi Associates
	Binns, "Using IPR To Raise Dept Capital", 1 June 1999, pages 78-80, les Nouvelles

Examiner Signature	Date Considered
--------------------	-----------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* Unique citation designation number. * Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application Number	10/583,222
Filing Date	03/19/2007
First Named Inventor	Jacobs, Jr., Leslie L.
Group Art Unit	2125
Examiner Name	Not Yet Assigned
Attorney Docket Number	1061-013

(use as many sheets as necessary)

Sheet 4 of 9

Bloom, "An Analysis of Economic Models for Administering Museum Intellectual Property; IV: Alternative Models for Licensing Museum Intellectual Property", 27 April 2002, 11 pages, Canadian Heritage Information Network, www.chin.gc.ca
Blumenstyk, "Turning Patent Royalties Into a Sure Thing", 5 October 2001, 4 pages, The Chronicle of Higher Education
Broadcast Music, Inc., "BMI Royalty Information", 1 March 2000, 20 pages, www.bmi.com/ima/songwriter/royalty/index.asp ,
Brookes, "New York Whiz Kid Helps Rock Stars Increase Their Riches", 7 June 1999, Boulder News
Carlile, "Strategic Management of Intellectual Property", 1 May 2000, pages 1-18, Patent Strategy Management, 1:1
Codding, "Patent for Sale by Owner: Caveat Emptor in the eCommerce Age", 1 March 2000, Intellectual Property Today
Cool License Corp., "The License Store", 13 April 2000, www.coollicense.com
Damron, "DCR Comments on Music Royalty Securitizations, Special Report, Asset-Backed Securities", 1 September 1999, 6 pages, Duff & Phelps Credit Rating Co.
Dorris, "The Securitization of Drug Royalties: A New Elixir?", 1 January 2003, Global Securitisation and Structured Finance 2003, White Paper in association w/ Deutsche Bank
Fairfax, "When You Wish Upon a Star: Explaining the Cautious Growth of Royalty-Backed Securitization", 1 January 1999, Columbia Business Law Review
Feldheim, "Elton John Poised to Tap Asset-Backed Market", 5 July 1999, Asset Sales Report, www.pullmanco.com/article104.htm
Forehand, "Accenture Annual Report 2001, Intro and Letter from the Chairman & CEO", 29 November 2001, 17 pages
Furman, "Marvin Gaye Estate To Issue Music Bonds", 20 September 2000, www.lpnetwork.com
Goch, "The Rocky (and Rolling) Road to Securitization", 1 October 1999, Best's Review Magazine,
Goldscheider, "Appraisal of the Patent and Trademark Portfolio for a Going Business Concern", 1 May 1994, Licensing Economics Review

Examiner Signature	Date Considered
--------------------	-----------------

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ³ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/583,222
			Filing Date	03/19/2007
			First Named Inventor	Jacobs, Jr., Leslie L.
			Group Art Unit	2125
			Examiner Name	Not Yet Assigned
			Attorney Docket Number	1061-013
(use as many sheets as necessary)				
Sheet	5	of	9	

	Goldscheider, "Determining the Value of Technology and Setting Royalty Rates", 1 October 1992, Prentice Hall Law and Business
	Gorman, "Music Companies Prove to Be Lucrative Investments", 1 August 1999, www.pullmanco.com/article105.htm
	Gu, "Markets in Intangibles: Patent Licensing", 1 May 2000, 36 pages, Boston University School of Management, and New York University, Stern School of Business
	Haber, "IP Bond Draws Interest of High-Tech", 5 May 1997, pages 1-4, Electronic News, www.sumnet.com
	Hohmann, "Chapter 4: Business and License Model Symbiosis, from Beyond Software Architecture: Creating and Sustaining Winning Solutions", 1 January 2003, 30 pages, Addison-Wesley
	Hruby, "Model Helps Set Value of Technology", 1 March 1997, pages 40-42, les Nouvelles
	Kadlec, "Banking On The Stars", 23 October 2000, www.Time.com/time/innovators/business/profile_pullman.html
	Kadlec, "The Real Price of Fame", 17 August 1998, 152:7, www.Time.com
	Kerr, "Bowie Bonding in the Music Biz: Will Music Royalty Securitization Be the Key to the Gold for Music Industry Participants?", 1 January 2000, UCLA Entertainment Law Review
	Kramer, "Securitisation of Intellectual Property Assets in the US Market", 16 June 2003, 4 pages, Retrieved from www.buildingipvalue.com
	Langley, "How to Scrutinize Intellectual Property Assessts", Periodical, 1 June 1998, 4 pages, Vol. Iss. 163, Corporate Finance
	Licent Capital, "IP Royalty Financing", 16 September 2000, www.licentcapital.com/index.htm
	Lin, "A Securitization Primer", 1 August 1999, 14 pages, Scotia Capital, Canada
	Murphy et al., "Proposal for Security Interests in Intellectual Property: Appendix 5 - The Secondary Market Function", 1 January 2002, 16 pages, IDEA, Volume 41 - Nos. 3 & 4
	Newcomb, "Dead Men Earning", 22 March 1999, Forbes
	"About Ipnetwork.com", 13 February 2000, www.ipnetwork.com/aboutus.asp?sec+aboutYs ,

Examiner Signature	Date Considered
--------------------	-----------------

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/583,222	
			Filing Date	03/19/2007	
			First Named Inventor	Jacobs, Jr., Leslie L.	
			Group Art Unit	2125	
			Examiner Name	Not Yet Assigned	
(use as many sheets as necessary)					
Sheet	6	of	9	Attorney Docket Number	1061-013

	"Benefits to Subscribers: The Safest Marketplace in the World for Intellectual Property Transactions", 16 April 2000, www.pl-x.com
	"Borrowers - Is This The Biggest Show in Town?", 1 June 1998,
	"Buyer/Licencee", 13 February 2000, www.ipnetwork.com/buyer.asp?sec=buyer
	"CAK Universal Credit Corporation Earns AAA Rating for SESAC Loan Securitization", 11 May 1999, pages 1-2, LookSmart, Ltd.
	"Marketing Inventions is One of the Biggest Problems Facing Inventors Today", 9 June 2000, www.Patentauction.com
	"Online Clearinghouse for Intellectual Property Established", 4 November 1999, Newsbytes via NewsEdge Corporation, NY, NY
	"Online Services set to revolutionize licensing", 29 February 2000
	"Oracle9i Application Server (Oracle9I AS) Product Overview", 1 October 2000, www.oracle.com
	"Pedestal-Analytics", 15 April 2000
	"Pullman Group Files Lawsuit Against Prudential", 16 April 2000, The Underwriters' Wire, Wire Report
	"RedChip Analytics: UTEK Corporation", 5 November 2003, 8 pages, RedChip Companies, LLC, Spokane, WA
	"Software Leasing and Financing, 1998 Equipment Leasing Association Convention", 19 October 1998, 29 pages, Cooley Goodward LLP and LPI Software Funding Group, Inc.
	"The Future is in Creative Finance", 22 August 1997, Economic Trends, www.fm.co.za
	"The Patent Spreadsheet, Valuing Patents", 9 February 1998, Forbes Digital Tool: Technology, www.forbes.com

6

Examiner Signature	Date Considered
-----------------------	--------------------

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/583,222
		Filing Date	03/19/2007
		First Named Inventor	Jacobs, Jr., Leslie L.
		Group Art Unit	2125
		Examiner Name	Not Yet Assigned
(use as many sheets as necessary)		Attorney Docket Number	1061-013
Sheet	7	of	9

	<p>"The Pullman Group, LLC", www.pullmanco.com; "The History of the Pullman Group LLC", www.pullmanco.com/history.htm; "Entertainment Asset Securitization", www.pullmanco.com/sas.htm; "Music & Entertainment Royalty Securitization", www.pullmanco.com/ers.htm; "Speaking Engagements of David Pullman", www.pullmanco.com/speeches.htm; "Latest Innovations", www.pullmanco.com/latest.htm; "The Pullman Group in the News", www.pullmanco.com/news.htm; "The Pullman Group Files Multibillion Dollar Lawsuit", www.pullmanco.com/lawsuit.htm; Brown, "Royalties 'R' US-Are intellectual bonds a smart money move?" (Black Enterprise, June 1999, pg. 39), www.pullmanco.com/articles123.htm; Weston, "The Bonds Formerly Known as Artists" (ROB Magazine Reporter, May 1999), www.pullmanco.com/article095.htm; Powell, "Trading Faces" (Red Herring, November 1999), www.pullmanco.com/article127.htm; Brandman, "Securitization: and the Bond Played On" (Global Finance, November 1999, pgs. 66-67), www.pullmanco.com/article121.htm; "Q&A David Pullman" (The Record, March 8, 1999), www.pullmanco.com/article087.htm; "Pullman Group Files Multibillion Lawsuit", Press Release, November 14, 1999, www.pullmanco.com/article111.htm; Complaint Filed By The Pullman Group, LLC Against The Prudential Insurance Company of America et al., (filed November 1999), www.pullmanco.com/lawfile.htm; "David Pullman: A Man on a Mission" (LA Vision, March 1999, pgs. 22-23), www.pullmanco.com/article128.htm; [download date: April 16, 2000], 58 pages</p>
	<p>Pavri, "Valuation of Intellectual Property Assets - The Foundation For Risk Management and Financing", 1 April 1999, 27 pages, Pricewaterhouse Coopers, INSIGHT Conference</p>
	<p>Piskora, "Pullman's New Platter", 22 August 1999, New York Post Business</p>
	<p>Rigby & Zook, "Free Trade in the Marketplace of Ideas", 4 December 2002, 3 pages, The Wall Street Journal, Europe</p>
	<p>Rivette, "Rembrandts in the Attic - Unlocking the Hidden Value of Patents", 8 November 1999, 119-144, 156-161 page(s), Harvard Business School Press, Boston, MA.</p>
	<p>Ruff, "Security Interests In Patents And Trademarks", 1 August 2003, 10 pages, Mayer, Brown, Rowe & Maw LLP, http://www.mayerbrown.com/publications/article.asp?id=1060&nid=6,</p>
	<p>Schwarz, "The Alchemy of Asset Securitization", 1 January 1994, Stanford Journal of Law, Business & Finance</p>
	<p>Seife, "Understanding Asset-Backed Commercial Paper", 1 February 1999, pages 1-12, FITCH IBCA, Structured Finance</p>
	<p>Shearman & Sterling, "Handbook On Securitization", 1 December 2002, 89 pages, Shearman & Sterling, Securitization & Derivatives Practice Group</p>

Examiner Signature	Date Considered
--------------------	-----------------

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/583,222
			Filing Date	03/19/2007
			First Named Inventor	Jacobs, Jr., Leslie L.
			Group Art Unit	2125
			Examiner Name	Not Yet Assigned
(use as many sheets as necessary)			Attorney Docket Number	1061-013
Sheet	8	of	9	

	Simensky, "New Techniques for Managing IP Risks", 1 July 2000, www.ipnetwork.com
	Smith, "Valuation of Intellectual Property and Intangible Assets, 2nd Ed.", 1 January 1994, pages xvii - xxvi, 139-241, 409-426, John Wiley & Sons, Inc.
	Society of Actuaries, "Session 151TS - Introduction to Securitized Assets", 1 January 2002, 36 pages, Society of Actuaries, RECORD, Vol. 27, No. 3, New Orleans Annual Meeting, October 21-24, 2001
	Stone Street Capital, Inc., "Royalty and Licensing Revenue Streams; Intellectual Property Financing Frequently Asked Questions; About Stone Street Capital, Inc.; Frequently Asked Questions; and Fast Forward, Financing Bright Ideas Brochure", http://web.archive.org/20031023012204/http://www.stonestreet.com , 23 October 2003, 16 pages, Stone Street Capital, Inc., http://web.archive.org/20031023012204/http://www.stonestreet.com
	Sullivan, "Building the Next eBay for IP Trade", 10 April 2000, Legaltimes.com, www.5.law.com/dc-shl/display.cfm?id=3011
	Sylva, "Bowie Bonds Sold For Far More Than a Song: the Securitization of Intellectual Property As A Super-Charged Vehicle for High Technology Financing", 1 January 1998, pages 195-230, Computer & High Technology Law Journal, Vol. 15
	Universal Credit Corporation, "Providing Financial Solutions and Capital for Entertainment, Brands and Sports", 24 October 2000, www.licensingworld.com/html/cakucc.html
	Universal Credit Corporation, "Royalty Loans and Asset Securitization", 24 October 2000, www.cakucc.com/royaltyindividuals.html
	Veatch, "Software Financing: The Perplexities of a Program Agreement", 1 January 1997, pages 3-8, Journal of Equipment Lease Financing, Vol. 15/No.2
	Veatch, "Software Leasing: The Intricacies of the Intangible", 1 January 1996, pages 21-28, Journal of Equipment Lease Financing, Vol.14/No.2
	Velez, et al., "FOCUS on SESAC", 1 September 2000, 16 pages, SESAC, Volume VI, No. 2, Fall 2000
	Watanabe, "Cashing In On The Future: Royalty Streams Provide a Relatively New Way To Fund Companies, Universities, and Even Individuals", 16 October 2000, 4 pages, The Scientist, 14[20]: 26

8

Examiner Signature	Date Considered
-----------------------	--------------------

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ³ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/583,222
		Filing Date	03/19/2007
		First Named Inventor	Jacobs, Jr., Leslie L.
		Group Art Unit	2125
		Examiner Name	Not Yet Assigned
(use as many sheets as necessary)		Attorney Docket Number	1061-013
Sheet	9	of	9

	Webster, "A Win/Win Solution to Strategic Financing", 1 February 1996, pages 10-13, Canadian Treasurer
	Webster, "Extracting a Technology's Value Through Royalties", 1 August 1999, pages 18-19, Canadian Treasurer
	Yamada, "Selling Corporate Secrets - Legally", 24 January 2000, Redherring.com, www.redherring.com/insider/2000/0124/news-yet2com.html

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ³ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.